

ETHICS STATEMENT

IMPORTANT: This form must be submitted, signed by the candidate and a witness, and have all items completed before a National Institute designation is awarded.

SECTION A: TO BE COMPLETED BY THE DESIGNATION CANDIDATE

(please print)

I, _____, hereby declare the following statements to be true:

1. I will conduct all client matters without bias or prejudice and will attempt to maintain impartiality at all times.
2. I will always strive to act in a professional manner and will serve my clients to the best of my ability.
3. I will act with integrity in all matters and will not undertake a professional obligation without having a reasonable expectation of fulfilling that task in a competent manner.
4. I will always strive for personal and professional improvement.
5. I will not engage in unlawful or unethical conduct and will discontinue representation in or work on any matter in which a conflict of interest exists.

I have read and understand the above agreement and freely agree to all stated terms.

Signature of Candidate

Today's Date

Designation Program (please check one):

Certified Specialist in Estate Planning® (CSEP)

Certified Specialist in Retirement Planning® (CSRP)

Company Name _____

Address _____

Phone No. _____ Fax No. _____

Email _____

**SECTION B: TO BE COMPLETED BY AN INDIVIDUAL WHO KNOWS THE CANDIDATE WELL,
WHO POSSESSES AT LEAST ONE OF THE LICENSES/DESIGNATIONS LISTED BELOW.**

I presently hold the following licenses/designations in good standing (please check all that apply):

Certified Public Accountant (CPA)

License No. _____

Licensed Attorney

License No. _____

Enrolled Agent

License No. _____

Certified Financial Planner® (CFP®)

Year of designation _____

Chartered Financial Consultant (ChFC)

Year of designation _____

Chartered Life Underwriter (CLU)

Year of designation _____

I attest that, to the best of my knowledge, no ethical reason exists to prevent this candidate from receiving a designation from the National Institute for Excellence in Professional Education, LLC.™

I have known this candidate since _____

Signature

Today's Date